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Date April 7, 2005

Number of pages (Including cover): 6

## FAX COVER

\*\*\*\*\*OFFICIAL FAX\*\*\*\*\*

To Examiner Haissa Philogene

Company United States Patent and Trademark Office

Serial No.: 10/045,629, Confirmation No. 3677

Title: METHODS AND APPARATUS FOR CONTROLLING ILLUMINATION

Fax 703-872-9306

From Joseph Teja, Jr.

Direct dial 617-395-7017

Our File # C01104/70091

### CERTIFICATE OF FACSIMILE TRANSMISSION 37 C.F.R. § 1.8(a)

The undersigned hereby certifies that this document is being transmitted via facsimile to the attention of Examiner Haissa Philogene, FAX number 703.872-9306, at the United States Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450, in accordance with 37 C.F.R. § 1.6(d), on the 7<sup>TH</sup> day of April, 2005.

  
Jeanne W. Chub

Message: Transmitted herewith are copies of a postcard, transmittal letter and information disclosure statement mailed January 25, 2005.

## URGENT

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Serial No. 10/045,629 File No. C01104/70091 By: JT  
 Title: Methods and Apparatus for Controlling Illumination  
 Application of: Frederick M. Morgan et al. Date: ndd  
 The U.S. PTO Mail Room acknowledges receipt of the following on the date stamped hereon:

<input type="checkbox"/> Mailing by Express Mail (37 CFR 1.10)	<input checked="" type="checkbox"/> Provisional Application Cover Sheet
<input type="checkbox"/> Express Mail Label No. _____	<input type="checkbox"/> Multiple Dependent Claim Fee Sheet
<input type="checkbox"/> Patent Application	<input type="checkbox"/> Inf. Disc. Statement, PTO Form _____
<input type="checkbox"/> Non-provisional <input type="checkbox"/> Provisional	<input type="checkbox"/> References Cited
Incl. _____ pages, ( _____ pgs) Specification,	<input type="checkbox"/> Priority Document(s) # _____
( _____ pgs) Abstract, ( _____ pgs) Claims ( _____ # claims)	<input type="checkbox"/> Copy of Notice to File Missing Part
<input type="checkbox"/> Design Patent Application	<input type="checkbox"/> Amendment/Response
Declaration(s) _____	<input type="checkbox"/> Petition for Ext. of Time (x2)
Drawings _____ Sheet(s) (FIGS. _____)	<input type="checkbox"/> Issue Fee Transmittal
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<input type="checkbox"/> Other _____	

DATE MAILED January 27, 2005

Serial No. 10/045,629 File No. C01104/70091 By: JT  
 Title: Methods and Apparatus for Controlling Illumination  
 Application of: Frederick M. Morgan et al. Date: ndd  
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<input type="checkbox"/> Patent Application	<input type="checkbox"/> Inf. Disc. Statement, PTO Form 1449
<input type="checkbox"/> Non-provisional <input type="checkbox"/> Provisional	<input type="checkbox"/> References Cited
Incl. _____ pages, ( _____ pgs) Specification,	<input type="checkbox"/> Priority Document(s) # _____
( _____ pgs) Abstract, ( _____ pgs) Claims ( _____ # claims)	<input type="checkbox"/> Copy of Notice to File Missing Parts
<input type="checkbox"/> Design Patent Application	<input type="checkbox"/> Amendment/Response
Declaration(s) _____	<input type="checkbox"/> Petition for Ext. of Time (x2)
Drawings _____ Sheet(s) (FIGS. _____)	<input type="checkbox"/> Issue Fee Transmittal
<input type="checkbox"/> Formal <input type="checkbox"/> Informal	<input type="checkbox"/> Letter to Official Draftsperson
<input type="checkbox"/> Utility Patent Application Transmittal	<input type="checkbox"/> Notice of Appeal
<input type="checkbox"/> Fee calculation sheet (x2)	<input type="checkbox"/> Brief (x3)
<input type="checkbox"/> CPA Transmittal	<input type="checkbox"/> Check for \$ _____ Check # _____
<input type="checkbox"/> Verified Statement claiming small entity status	<input checked="" type="checkbox"/> Transmittal Letter (x2)
<input type="checkbox"/> Assignment and Cover Sheet	<input checked="" type="checkbox"/> Cert. of Mailing under 37 CFR 1.8(a)
<input type="checkbox"/> Other _____	

DATE MAILED January 27, 2005

Attorney's Docket No.: C01104/70091**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Frederick M. Morgan, et al.  
Serial No.: 10/045,629  
Confirm. No.: 3677  
Filed: October 25, 2001  
For: METHODS AND APPARATUS FOR CONTROLLING  
ILLUMINATION  
Examiner: Philogene, Haissa  
Art Unit: 2821

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Mail Stop: Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 25 of January, 2005.

  
Jeanne W. Chub

Mail Stop: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

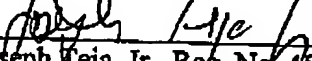
Transmitted herewith for filing are the following documents:

- ☒ Information Disclosure Statement and Form PTO-1449
- ☒ Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 395-7000.

No check is enclosed. If a fee is required, the Commissioner is hereby authorized to charge Deposit Account No. 50/2762.

Respectfully submitted,  
Frederick M. Morgan et al., Applicants

By:   
Joseph Teja, Jr., Reg. No. 45,157  
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One Main Street  
Cambridge, MA 02142  
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Date: January 25, 2005

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ATTORNEY DOCKET NO: C01104/70091

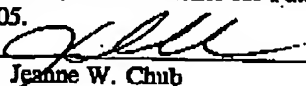
## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Frederick M. Morgan et al.  
Serial No: 10/045,629  
Confirmation No: 3677  
Filed: October 25, 2001  
For: METHODS AND APPARATUS FOR CONTROLLING  
ILLUMINATION  
Examiner: Haissa Philogene  
Art Unit: 2821

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Jeanne W. Chub

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Mail Stop: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**STATEMENT FILED PURSUANT TO THE DUTY OF  
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98**

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

**PART I: Compliance with 37 C.F.R. §1.97**

This Information Disclosure Statement has been filed before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. §1.114.

No fee or certification is required.

**PART II: Information Cited**

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

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Serial No.: 10/045,629

- 2 -

Art-Unit: 2821

PART III: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,  
*Frederick M. Morgan et al., Applicants*

By: 

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One Main Street  
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Tel. (617) 395-7000

Date: January 25, 2005  
756940.1

COPY

<b>FORM PTO-1449/A and B (Modified)</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		APPLICATION NO.: 10/045,629		ATTY. DOCKET NO.: C01104/70091	
		FILING DATE: October 25, 2001		CONFIRMATION NO.: 3677	
		APPLICANT: Frederick M. Morgan, et al.			
		GROUP ART UNIT: 2821		EXAMINER: Haissa Philogene	
Sheet	1	of	1		

## U.S. PATENT DOCUMENTS

Examiner's Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYYY
		Number	Kind Code		
		5,333,228		Kingstone	07/26/94
		5,528,714		Kingstone et al.	06/18/96
		5,617,496		Kingstone	04/01/97
		5,617,497		Kingstone	04/01/97
		5,789,471		Caruso	08/04/98
		5,838,860		Kingstone et al.	11/17/98
		6,200,012		Ouyang	03/13/01
		6,398,397		Koren	06/04/02
		6,422,730		Koren et al.	07/23/02
		6,484,952		Koren	11/26/02

## FOREIGN PATENT DOCUMENTS

Examiner's Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document (not necessary)	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Office/ Country	Number	Kind Code			

## OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials	Cite No.	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)

EXAMINER	DATE CONSIDERED
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#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.  
Include copy of this form with next communication to applicant.